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PATENT
Attorney Docket No.: A-66038-4/RMS/DLR 16 C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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in re application of:

FERRICK et al.

Serial No. 09/966,976

Filed: September 27, 2001

For: Methods and Compositions for
Screening for Modulators of IgE
Synthesis, Secretion and Switch
Rearrangement

Examiner: UNKNOWN

Group Art Unit: 1646

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, U.S. Patent and Trademark Office, BOX SEQUENCE, P.O. Box 2327, Arlington, VA 22202 on April 10, 2002.

Signed: Monica E. Carlos

Monica E. Carlos

RESPONSE TO NOTICE OF INCOMPLETE REPLY
AND STATEMENT RE SUBSTITUTE SEQUENCE LISTING

Assistant Commissioner for Patents
U.S. Patent and Trademark Office
BOX SEQUENCE, P.O. Box 2327
Arlington, VA 22202

Sir:

This is in response to the Notice of Incomplete Reply (Nonprovisional) mailed March 19, 2002. A copy of the notice is enclosed. This response is accompanied by a Petition for Extension of Time and the requisite fee, making it a timely response. Applicant believes no fee to be due. If this belief is in error, however, the Commissioner is authorized to charge any additional fees including extension fees or other relief which may be required, or credit any overpayment to Deposit Account No. 06-1300 (Our Order No. A-66038-4/RMS/DLR).

Serial No.: 09/966,976
Filed: September 27, 2001

This statement is made in adherence with 37 C.F.R. § 1.821-1.825. This statement is accompanied by a floppy disk containing the above named sequence, SEQUENCE ID NUMBERS 1-19 in computer readable form. The computer readable sequence listing was prepared through use of the software program "PatentIn" provided by the PTO. The information contained in the enclosed computer readable disk is identical to that of the paper copy that was filed by Applicant in reply to the Notice to File Corrected Application Papers on December 14, 2001 and received by the Office on January 16, 2002 and contains no new matter. Applicant submits that this statement, the accompanying computer readable sequence listing, the previously filed Preliminary Amendment and the previously filed paper copy of the sequence listing serve to place this application in a condition of adherence to the rules 37 C.F.R. § 1.821-1.825.

Please direct any calls in connection with this application to the undersigned at (415) 781-1989.

Respectfully submitted,

FLEHR HOHBACH TEST
ALBRITTON & HERBERT LLP

Dated: 4/10/02

Four Embarcadero Center
Suite 3400
San Francisco, CA 94111-4187
Telephone: (415) 781-1989

Robin M. Silva
Robin M. Silva, Reg. No. 38,304



PATENT
Attorney Docket No.: A-66038-4/RMS/JJD/DLR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

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Signed: Monica E. Carlos
Monica E. Carlos

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RESPONSE TO NOTICE OF INCOMPLETE REPLY

Assistant Commissioner for Patents
U.S. Patent and Trademark Office
BOX SEQUENCE, P.O. Box 2327
Arlington, VA 22202

Sir:

In response to the Notice of Incomplete Reply (Nonprovisional) mailed March 19, 2002, copy enclosed, Applicants resubmit twenty-eight (28) sheets of formal drawings. Although no fee is believed to be due at this time, the Commissioner is hereby authorized to charge any such fees, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (Our File A-66038-4/RMS/DLR).

Please direct any calls in connection with this application to the undersigned at (415) 781-1989.

Respectfully submitted,

FLEHR HOHBACH TEST
ALBRITTON & HERBERT LLP

Dated: 4/10/02

Four Embarcadero Center
Suite 3400
San Francisco, CA 94111-4187
Telephone: (415) 781-1989

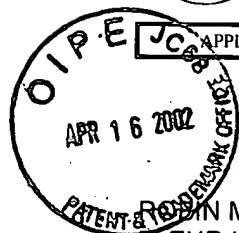
Robin M. Silva
Robin M. Silva, Reg. No. 38,304

JUN 20 2002



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,976	09/27/2001	David A. Ferrick	A-66038-4/RMS/JJD/DLR

CONFIRMATION NO. 7248

FORMALITIES LETTER



OC000000007665159

ROBIN M. SILVA
FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP
Suite 3400
Four Embarcadero Center
San Francisco, CA 94111-4187

Date Mailed: 03/19/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 12/14/2001 to the Notice to File Missing Parts (Notice) mailed 10/31/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

B/E

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

CRF Problem Report

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: 09/966,976
Filing Date: 9/27/2001
Date Processed by STIC: 2/10/2002

STIC Contact: Mark Spencer, 703-308-4212

Nature of Problem:

The CRF (was):

- ☒ (circle one) Damaged or Unreadable (for Unreadable, see attached)
☐ Blank (no files on CRF) (see attached)
☐ Empty file (filename present, but no bytes in file) (see attached)
☐ Virus-infected. Virus name: _____ The STIC will not process the CRF.
☐ Not saved in ASCII text
☐ Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should **only** be the Sequence Listing.
☐ Did not contain a Sequence Listing. (see attached sample)
☐ Other: _____

**PLEASE USE THE CHECKER VERSION 3.1 PROGRAM TO REDUCE ERRORS.
SEE BELOW FOR ADDRESS:**

<http://www.uspto.gov/web/offices/pac/checker>

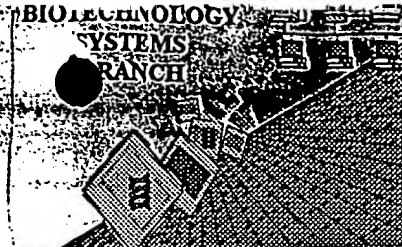
Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:
U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name,
Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
Or
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two,
2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office,
Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002



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